

face has $[\bar{1}10]$ Ga-As bonds and $[110]$ In-As bonds. As a result, the two in-plane directions $[110]$ and $[\bar{1}10]$ are inequivalent,¹¹⁻¹⁴ and the symmetry is reduced to C_{2v} (four point group operations). Similarly, the $\mathbf{k}\cdot\mathbf{p}$ does not recognize the proper odd-even symmetry of a film made of an odd or even number of monolayers,¹⁵ or the correct C_{2v} symmetry of a self-assembled InAs/GaAs pyramidal dot.¹⁶ The existence of a lower, C_{2v} symmetry in InAs/GaSb superlattices than the one (D_{2d}) assumed in standard $\mathbf{k}\cdot\mathbf{p}$ method has several consequences. (a)

(b) In our methodology the $\mathbf{k}\cdot\mathbf{p}$ parameters are viewed as fixed constants not as adjustable parameters. Once determined from the bulk band structures (drawn from well-established experiments and state-of-the-art *ab initio* calculations, see below) they have not been readjusted to fit the superlattice experimental data, or the superlattice pseudopotential calculation. Indeed, in $\mathbf{k}\cdot\mathbf{p}$ theory the input parameters are fundamentally bulk quantities, not properties of the nanostructures themselves.

II. METHOD OF CALCULATION

We first determine screened pseudopotentials $\{v_\alpha(q)\}$ as a function of momentum q for $\alpha = \text{Ga, In, As, Sb}$, using them to calculate the bulk band structures of GaSb and InAs from which we find the $\mathbf{k}\cdot\mathbf{p}$ band parameters shown in Table I. These parameters are then used in an eight-band $\mathbf{k}\cdot\mathbf{p}$ model⁴ to calculate the superlattice states. Separately, the pseudopotentials $\{v$

all-electron calculation the anion p -cation d coupling is fully

above (below) the InAs CBM (GaSb VBM) constitutes the electron (hole) confinement energy. We see that the pseudo-

This analysis suggests that new physical effects, thus far neglected, might need to be considered. For example, current pseudopotential and $\mathbf{k}\cdot\mathbf{p}$ calculations assume abrupt interfaces, while experiment^{19,37,38} points to inter-diffused and rough interfaces^{19,37} island formation³⁸⁻⁴⁰ and even compositional modulation,³⁸ which have been shown in other short-period superlattice systems to lead to large band gap reductions. Another possibility is the internal electric field allowed by the C_{2v} symmetry of the system. To estimate the effect of internal electric field on the band gap reduction we have compared the LDA calculated band gap of $(\text{InAs})_7\text{InSb}(\text{GaSb})_8$ and $\text{GaAs}(\text{InAs})_8(\text{GaSb})_7$ with that of $(\text{InAs})_8(\text{GaSb})_8$. The former two structures have equivalent interfaces, and thus no electric field is induced by the interface. We find that the internal electric field reduced the band

gap by about ~ 20 meV, thus, it does not appear to be a dominant effect that would explain the large discrepancy between present calculations and experimental observations.

To date, there is not enough data on intervalence energy splittings available to allow a meaningful comparison with experiment. Such experiments are called for, so that comparison with the values published here can be made.

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